



5 10/22 Receipt  
2 FCI #2

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/064,741	
	<b>Filing Date</b>	August 12, 2002	
	<b>First Named Inventor</b>	Campbell	
	<b>Group Art Unit</b>	2171	
	<b>Examiner Name</b>	Not yet assigned	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	I09.001/U

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter
<input type="checkbox"/> Preliminary Amendment Under 37 CFR 1.115 <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Correction of Filing Receipt; copy of Filing Receipt; copy of Preliminary Amendment as filed and Acknowledgement Postcard.
<input type="checkbox"/> Extension of Time Request	<b>Remarks</b>	
<input type="checkbox"/> Express Abandonment Request		
<input type="checkbox"/> Information Disclosure Statement		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm or Individual name</b>	Kurt M. Maschoff
<b>Signature</b>	
<b>Date</b>	October 9, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text" value="October 9, 2002"/>			
<b>Type or printed name</b>	Edith Martin		
<b>Signature</b>		<b>Date</b>	October 9, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Campbell et al.

Application No.: 10/064,741

Filed: 8/12/2002

Title: SYSTEM, METHOD, APPARATUS  
AND MEANS FOR PROTECTING  
DIGITAL CONTENT

Group Art Unit: 2171

Examiner: Unknown

Attorney Docket No.: I09.001/U

REQUEST FOR CORRECTION OF FILING RECEIPT

Office of Initial Patent Examination's  
Filing Receipt Corrections  
Assistant Commissioner for Patents  
Washington, D.C. 20231

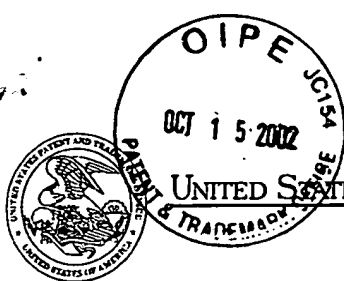
Sir:

Applicants hereby request a correction in the Filing Receipt of the above-referenced patent application. Enclosed is a copy of a Preliminary Amendment Under CFR 1.115 filed with the U.S. PTO on September 6, 2002 requesting the correct filing date of Provisional Application Serial No.: 60/373,000 filed April 16, 2002 for "Copy Protection for Multimedia Content on the Internet" and Serial No. 60/401,215, filed on August 5, 2002, the content of each of which is incorporated herein by reference for all purposes.

Respectfully submitted,

10/9/2002  
Date

Kurt M. Maschoff  
Kurt M. Maschoff  
Reg. No. 38,235  
Buckley, Maschoff, Talwalkar & Allison LLC  
Five Elm Street  
New Canaan, CT 06840  
(203) 972-0081



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/064,741	08/12/2002	2171	460		12	30	2

CONFIRMATION NO. 6603

 28062  
 BUCKLEY, MASCHOFF, TALWALKAR, & ALLISON  
 5 ELM STREET  
 NEW CANAAN, CT 06840

## FILING RECEIPT



\*OC000000008657755\*

Date Mailed: 08/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Geoff Campbell, New York, NY;  
 Peter Gutman, New York, NY;  
 Martin Guillaume, New Rochelle, NY;

## Domestic Priority data as claimed by applicant

 THIS APPLN CLAIMS BENEFIT OF 60/373,000 04/16/2002 \*  
 (\*)Data provided by applicant is not consistent with PTO records.

## Foreign Applications

If Required, Foreign Filing License Granted 08/20/2002

Projected Publication Date: 10/16/2003

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

System, method, apparatus and means for protecting digital content

Buckley, Maschoff, Talwalkar &amp; Allison LLC

Date Received: 8/24/02

Matter No.: 107-0011

Items Docketed: 12

Initials: JM

 Atty: KMM  
 Receipt of  
 Filing Receipt  
 ACOG 12

Preliminary Class

707

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Application Serial No: 10/064,741  
Attorney Docket No. I09.001/U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): CAMPBELL et al.

Filed: August 12, 2002

Title: SYSTEM, METHOD, APPARATUS  
AND MEANS FOR PROTECTING  
DIGITAL CONTENT

Group Art Unit: 2171

Examiner: Not yet assigned

Application Serial No.: 10/064,741

Attorney Docket No.: I09.001

PRELIMINARY AMENDMENT UNDER 37 CFR 1.115

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Prior to examination of the above-identified application, please amend this application as follows:

In the specification:

On page 1, under the section titled "Cross Reference to Related Applications", kindly replace the first paragraph with the following replacement paragraph (a marked-up copy of the replacement paragraph is attached hereto at Appendix A):

--This application is based on and claims priority to U.S. Provisional Application Serial No. 60/373,000 filed April 16, 2002 for "Copy Protection for Multimedia Content on the Internet" and Serial No. 60/401,215, filed on August 5, 2002, the content of each of which is incorporated herein by reference for all purposes.--

REMARKS

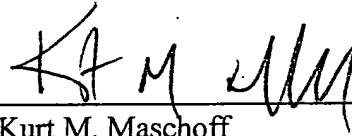
This preliminary amendment is presented to correct a typographical error in the first paragraph of the application as filed (*i.e.*, to correct the date of the first priority document to indicate a filing date of April 16, 2001) and to add the serial number of the second priority document.

No new matter is added by this amendment, nor is any fee believed due. Should there remain unresolved issues, it is respectfully requested that the Examiner telephone Kurt M. Maschoff, Applicants' Attorney at (203) 972-0081 so that such issues may be resolved as expeditiously as possible.

Respectfully Submitted,

9/6/02

Date



Kurt M. Maschoff

Reg. No. 38,235

Buckley, Maschoff, Talwalkar & Allison LLC

Five Elm Street

New Canaan, CT 06840

203-972-0081

**Appendix A – Marked-up Copy of Replacement Paragraph**

The following is a marked-up copy of the replacement paragraph for insertion at page 1 of the application as filed, replacing the first paragraph:

--This application is based on and claims priority to U.S. Provisional Application Serial No. 60/373,000 filed [April 6] April 16, 2002 for "Copy Protection for Multimedia Content on the Internet" and Serial No. [\_\_\_\_\_] (Attorney Docket No. I09.001/P)] 60/401,215, filed on August 5, 2002, the content of each of which is incorporated herein by reference for all purposes.--